Greenhouse Gas Route Comparison Study

Prepared for:

Pacific Merchant Shipping Association

August 2023

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Executive Summary

In most circumstances, cargo routed from Asia through U.S. West Coast ports provides considerable greenhouse gas (GHG) benefits as compared to other U.S. port gateways. **GHG emissions are, on average, 18% higher when cargo that originates in the Far East is diverted from the West Coast in favor of East Coast/Gulf Coast ports.** The results of utilizing this GHG routing comparison tool clearly demonstrate that West Coast ports generally have an environmental advantage over East Coast and Gulf ports in the total GHGs emitted per container (measured in twenty-foot equivalent units, or TEUs) shipped from origin ports in Asia to inland destination cities in the U.S. While in some limited circumstances when analyzing by vessel size the advantage is insignificant or slightly negative, GHG emissions can double when cargo from Asia bypasses the San Pedro Bay Ports or Pacific Northwest (PNW) Ports for the Port of New York and New Jersey. The advantage may vary depending on many variables that include vessel size, arrival port, as well as the ultimate inland destination.

	US West Coast Comparison Ports			
	Average percent increase when diverted from these ports			
From Asia to:	San Pedro	Oakland	Pacific	West Coast
	Bay		Northwest	Combined
To US East and Gulf coasts	19%	9%	26%	18%

Ironically, state and local regulations and policies designed to reduce GHGs from maritime and logistics operations in California are shifting cargo flows – and economic benefits - to East Coast and Gulf ports, creating the unintended consequences of generating greater GHG emissions. This shift in cargo away from the West Coast directly conflicts with the intent of climate focused policies and regulations. Cargo bypassing the West Coast to be transported through less expensive and less regulatory cumbersome gateways see much longer transit times, along with associated greater GHG emissions. As **U.S. West Coast ports have the lowest carbon footprint per TEU for cargo originating from Asia**, policymakers should consider the holistic economic and environmental consequences when implementing regulations which drive away cargo from the West Coast.

Overview

Shipping lines and cargo owners are influenced by three main factors when determining preferred shipping routes: speed to destination, reliability and cost. These factors, particularly cost, are influenced by several variables which can be impacted by state and local policies. These variables include: port and inland infrastructure, availability of skilled labor, vessel and network capacity, government and regulatory requirements and energy efficiency. As a result, cargo owners may select route alterations that are more beneficial for their business. Cargo owners have many options; one of the environmental consequences when alternative to West Coast ports are utilized are increased GHG emissions due to the much longer transit distances, sometimes more than twice the ocean distance. Therefore, policymakers should factor the likelihood of higher GHG emissions when they enact legislation and regulations that cause cargo to be diverted away from the West Coast.

The Pacific Merchant Shipping Association (PMSA) commissioned Starcrest Consulting Group, LLC (Starcrest) to develop a GHG comparison tool to assist policymakers, cargo interests and others in evaluating the relative differences in GHG emissions between various shipping routes originating from Asian ports to inland U.S. destinations. First developed in 2017, the comparison tool has been updated to reflect current shipping routes and other parameters.

Cargo originating from Asian ports has historically been routed east through the major gateways of the ports of Long Beach and Los Angeles and the PNW due to their relatively close geographic locations and proximity to infrastructure and logistics networks across the United States, such as truck and rail routes. In the past, shipping lines and cargo owners have paid increased operational costs related to environmental initiatives in order to access these gateways. However, due to the rapid investments in global transportation infrastructure, today, cargo owners have more U.S. gateway choices than ever before. As a result, they are highly sensitive to changes in their three primary metrics to determine cargo routes and carriers: cost, time, and reliability. In particular, cargo that is considered discretionary (i.e., cargo that could move through multiple gateways) is sensitive to changes in all three metrics and will seek the gateway that is most advantageous to the shipping line or cargo owner.

While it is true that many cargo owners, particularly those in consumer-influenced retail sectors, have environmental and sustainability goals to reduce GHGs from their transportation operations, these same cargo owners have financial goals to reduce annual logistics expenditures. To date, most cargo owners have focused their efforts on logistics efficiency improvements such as increased container utilization, modal shifts, network optimization and supplier management. In many cases, improvements that lower costs may also reduce environmental impacts. In addition, the use of more efficient, much larger container vessels can lower costs for vessel operators and reduce overall emissions on a given route.

environment, cargo owners will almost always choose the gateway that provides them a market advantage. In such instances, efficiency and environmental goals may not be aligned.

State and local regulations that are designed to reduce GHGs and air pollutant emissions from maritime and other logistics operations in California often increase operational costs for cargo owners and may have the unintended consequence of shifting cargo flows to less expensive gateways with longer transit time, and therefore generating greater GHG emissions. This shift in cargo away from the West Coast directly conflicts with the intent of climate focused policies and regulations. These actions increase GHG emissions above what would have been emitted in the absence of such regulations, as cargo originating in Asia is naturally attracted to U.S. West Coast ports, which have the lowest carbon footprint per TEU for cargo originating from Asia.

Comparison Tool

The GHG Comparison Tool allows analysis between emissions from cargo routed to inland locations through U.S. West Coast ports to emissions from shipments to the same inland locations routed through U.S. East Coast and Gulf Coast ports. The emissions analyzed include those from ocean-going vessels (OGVs) as they transit the open ocean and, depending on route, transit the Suez Canal or Panama Canal on their string from Asia to the U.S., and back to the originating port. The total emissions also include cargo handling equipment at the receiving port as well as locomotive transportation by rail from the receiving port to the inland destination, but not the return trip as railroad companies are able to make use of cargo logistics to move locomotives and railcars around their service areas without making specific trips back to a point of origin. The cargo movements that are included in this GHG comparison tool represent the direct activities associated with the specified ports. Once a unit of cargo has been removed from a ship, the remainder of the ship's voyage (i.e., the continuation of the string to the next port of call and beyond) is engaged in transporting other import and export cargo, as well as empty containers, so no emissions beyond the destination port are included in this tool.

A detailed description of the comparison tool and the methods used to evaluate the differences in emissions between routes is provided in Attachment A. The comparison tool analyzes emissions associated with trips from Busan, South Korea; Shanghai, China; and Singapore to U.S. West Coast, Gulf Coast and East Coast ports using typical routes across the Pacific Ocean for the West Coast ports, and the Suez or Panama Canals for the East Coast and Gulf Coast ports. Ultimate inland major logistic hub/destinations of Chicago, St. Louis and Memphis are considered.



This is the second update of the comparison tool. The original version of the tool developed in 2017 was provided to the U.S. Environmental Protection Agency, California Air Resources Board and South Coast Air Quality Management District for their review prior to publication.

GHG Emissions Summary

The following tables summarize the relative changes in GHG emissions when comparing cargo arriving at West Coast ports with cargo arriving at East Coast and Gulf Coast ports. Each table includes the overall route between origin port and inland destination, the arrival port and then the final destination. Other location comparisons are possible when using the tool.

Table 1 provides an overview of the average percentage increase in emissions when comparing emissions for cargo bound for Chicago when the entry port is a West Coast port, versus one of the East Coast or Gulf ports included in the evaluation tool. GHG emissions are, on average, 18% higher when cargo originating from Asia is routed through East Coast or Gulf Coast ports rather than West Coast ports. The values are estimates, actual increases will depend on variables such as the specific ocean routes that are taken, intermediate ports, vessel size, transit speeds, etc. However, the trend of increasing emissions with diversion from the U.S. West Coast is clear.

	US West Coast Comparison Ports					
	Average perc	ent increase wr	ien diverted fro	om these ports		
From Asia to:	San Pedro	Oakland	Pacific	West Coast		
	Bay		Northwest	Combined		
US Gulf Coast via Panama	27%	16%	34%	25%		
US East Coast via Panama	10%	1%	16%	8%		
US East Coast via Suez	22%	12%	28%	20%		
US East Coast combined	19%	9%	25%	17%		
US East and Gulf coasts	19%	9%	26%	18%		

Table 1: Overall GHG Emissions Comparison

Table 2 illustrates relative differences for cargo arriving specifically at the ports of Long Beach or Los Angeles on vessels in the 13,000-TEU size range with cargo arriving at Gulf and East Coast ports on vessels in the 13,000-TEU and 6,000-TEU size ranges, to provide a range of differences resulting from the different vessel sizes that may be used in trade. In almost all cases, the emissions increase, up to 92% more.

				GHG
Ocean Route	Arrival port	Inland	Vessel	Emission
		Destination	Size Class	Change
	Overall route: Busan - Ch	nicago		
Pacific Ocean	LA or Long Beach, CA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Houston, TX	Chicago, IL	13,000 teu	47%
			6,000 teu	60%
Panama Canal	Savannah, GA	Chicago, IL	13,000 teu	1%
			6,000 teu	52%
Suez Canal	Savannah, GA	Chicago, IL	13,000 teu	22%
			6,000 teu	87%
	Overall route: Singapore	- Chicago		
Pacific Ocean	LA or Long Beach, CA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Houston, TX	Chicago, IL	13,000 teu	50%
			6,000 teu	64%
Suez Canal	Savannah, GA	Chicago, IL	13,000 teu	-10%
			6,000 teu	36%
	Overall route: Shanghai -	- Chicago		
Pacific Ocean	LA or Long Beach, CA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Savannah, GA	Chicago, IL	13,000 teu	-1%
			6,000 teu	48%
Suez Canal	New York & New Jersey	Chicago, IL	13,000 teu	24%
			6.000 teu	92%

Table 2: GHG Emissions Comparison between San Pedro Bay Portsand East Coast Ports, by Vessel Size

Table 3 illustrates relative differences for cargo arriving at the Port of Oakland on vessels in the 13,000-TEU size range with cargo arriving at Gulf or East Coast ports on vessels in the 13,000-TEU and 6,000-TEU size ranges. In almost all cases, the emissions increase, up to 69% more.

				GHG
Ocean Route	Arrival port	Inland	Vessel	Emission
		Destination	Size Class	Change
	Overall route: Busar	n - Chicago		
Pacific Ocean	Oakland, CA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Houston, TX	Chicago, IL	13,000 teu	33%
			6,000 teu	45%
Panama Canal	Savannah, GA	Chicago, IL	13,000 teu	-8%
			6,000 teu	37%
Suez Canal	Savannah, GA	Chicago, IL	13,000 teu	11%
			6,000 teu	69%
	Overall route: Singa	pore - Chicago		
Pacific Ocean	Oakland, CA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Houston, TX	Chicago, IL	13,000 teu	39%
			6,000 teu	51%
Suez Canal	Savannah, GA	Chicago, IL	13,000 teu	-17%
			6,000 teu	25%
	Overall route: Shang	ghai - Chicago		
Pacific Ocean	Oakland, CA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Houston, TX	Chicago, IL	13,000 teu	15%
			6,000 teu	51%
Suez Canal	Savannah, GA	Chicago, IL	13,000 teu	-17%
			6.000 teu	25%

Table 3: GHG Emissions Comparison between Port of Oaklandand East Coast Ports, by Vessel Size

Table 4 illustrates relative differences for cargo arriving at the Pacific Northwest ports of Tacoma or Seattle on vessels in the 13,000-TEU size range with cargo arriving at Gulf or East Coast ports on vessels in the 13,000-TEU and 6,000-TEU size ranges. In almost all cases, the emissions increase, up to 104% more.

				GHG
Ocean Route	Arrival port	Inland	Vessel	Emission
		Destination	Size Class	Change
	Overall route: Busan - Ch	nicago		
Pacific Ocean	Pacific Northwest, WA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Houston, TX	Chicago, IL	13,000 teu	53%
			6,000 teu	66%
Panama Canal	Savannah, GA	Chicago, IL	13,000 teu	5%
			6,000 teu	57%
Suez Canal	Savannah, GA	Chicago, IL	13,000 teu	27%
			6,000 teu	94%
	Overall route: Singapore	- Chicago		
Pacific Ocean	Pacific Northwest, WA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Houston, TX	Chicago, IL	13,000 teu	56%
			6,000 teu	70%
Suez Canal	Savannah, GA	Chicago, IL	13,000 teu	-6%
			6,000 teu	42%
	Overall route: Shanghai -	Chicago		
Pacific Ocean	Pacific Northwest, WA	Chicago, IL	13,000 teu	
			6,000 teu	
Panama Canal	Savannah, GA	Chicago, IL	13,000 teu	5%
			6,000 teu	58%
Suez Canal	New York & New Jersey	Chicago, IL	13,000 teu	32%
			6.000 teu	104%

Table 4: GHG Emissions Comparison between Pacific Northwest Portsand East Coast Ports, by Vessel Size

Starcrest Consulting Group, LLC specializes in assisting ports and maritime clients address air quality, climate, business sustainability and data management needs. www.starcrestllc.com

Attachment A - Methodology Description

Introduction

The greenhouse gas comparison tool has been developed to assist in evaluating the differences in greenhouse gas (GHG) emissions related to moving cargo through various routes from originating ports in Asia to inland U.S. destinations. The comparison tool is not intended to be used for conducting emissions inventories for the sources included. The tool allows comparisons between emissions from shipments routed to inland locations through West Coast ports with emissions from shipments of cargo to the same inland locations routed through East Coast ports. The emissions that are compared include those from ocean-going vessels (OGVs) as they transit the open ocean and, depending on route, transit the Suez Canal or Panama Canal on their string from Asia to the United States. The emissions also include cargo handling equipment at the receiving port and locomotive transportation by rail from the receiving port to the inland destination. This document describes the methods used by the comparison tool to estimate emissions from these sources.

Cargo Movements Included

The tool estimates emissions from cargo movements within the following geographical extents:

- Ocean-going vessels: Ships inbound to specified destination ports from a specified originating port.
- Cargo Handling Equipment (CHE): Equipment used to move containers within the terminal container yard at the receiving port.
- Rail locomotives: Class 1 rail movements from the specified port to major rail cargo destinations.

The cargo movements that are included in this GHG comparison tool represent the direct movements associated with the specified ports. Once a unit of cargo has been removed from a ship, the remainder of the ship's voyage (i.e., the remainder of the string back to the originating port) is engaged in transporting other cargo, including empty containers, so no emissions beyond the destination port are included in this tool. Emissions from locomotives are included from the specified port to the destination and not the return trip because the railroad companies are able to make use of cargo logistics to move locomotives and railcars around their service areas without making specific trips back to a point of origin. Locomotives and railcars are used to move other cargo after they drop off the cargo evaluated in this emissions comparison tool so their emissions are not included in the evaluation.

Greenhouse Gases Included

GHGs of concern in goods movement primarily include carbon dioxide (CO_2), methane (CH_4), and nitrous oxide, (N_2O). Certain fluorinated gases used in commercial and industrial applications are also GHGs but are not directly associated with goods movement. This tool estimates emissions of CO_2 , CH_4 , and N_2O because these are the GHGs emitted by the combustion emission sources that characterize the long-distance transport off goods in commerce. Because each greenhouse gas differs in its effect on the atmosphere, estimates of greenhouse gas emissions are presented in units of carbon dioxide equivalents (CO_2e), which weight each gas by its global warming potential (GWP) value. To normalize these values into a single greenhouse gas value, CO_2e , the GHG emission estimates are multiplied by the following GWP values¹ and summed. The resulting CO_2e

Global warming equivalence factors:

- ➤ CO₂-1
- ➢ CH₄ − 25
- ➢ N₂O 298

Methodology Overview

The methodology estimates GHG emissions associated with container movements by sea between Asia and various ports in the U.S., handling of the cargo at the receiving port, and rail transport from the arriving U.S. port to three inland destinations. From the originating port, ocean voyage emissions can be estimated via three routes: direct Pacific Ocean route, through the Panama Canal, and through the Suez Canal. The current version of the tool includes example strings making use of the three routes. While these strings represent actual routes taken by shipping lines, they are not the only possible routes that can be taken.

The U.S. port locations that are represented in the comparison tool are those in Houston, Jacksonville, Savannah, Charleston, Norfolk, New York/New Jersey, Los Angeles/Long Beach, Oakland, and the Pacific Northwest. The inland destinations are Chicago, Memphis, and St. Louis.

An activity-based methodology is used for the OGVs on the sea legs and for the locomotives on the land leg, while reported emissions from CHE at specific ports are used for the container handling component. For OGVs and locomotives, the activity is calculated and expressed as energy expended in kilowatt hours (kW-hrs) for OGVs and horsepower hours (hp-hrs) for locomotives, and emissions are calculated using emission factors expressed as grams per kW-hr or grams per hp-hr. Emissions from CHE are calculated on the basis of metric tons of GHG emissions per container measured as twenty-foot equivalent units (teu). The methods are described in detail below.

¹U.S. EPA, Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2020, April 2022.

Ocean-going vessels

For OGVs, estimated energy consumption in kilowatt hours is calculated for the three primary emission sources installed on ships: propulsion or main engine(s), auxiliary generators, and auxiliary boilers. The operational profiles for these sources vary with the ship's mode of operation. Two operating modes are included, open sea transit (at sea) and canal transit. Other modes of operation, such as maneuvering and at-berth, are not included because they contribute low amounts of emissions (less than 1%) when compared to the modes that are included, and because they are roughly equivalent on all routes so would not contribute to a comparison of differences. Within the at-sea mode, transit within zones that are designated Emission Control Areas (ECAs) and within non-ECA zones are evaluated separately in order to include the effect on emissions of the different fuels that are used in these two zones.

The methods used to estimate energy and emissions are consistent with the International Maritime Organization's (IMO) *Third Greenhouse Gas Study*.² following equation is used to calculate the energy associated with the propulsion or main engine(s):

Equation 2

Energy (kW-hrs) = MCR (kW) x LF (unitless) x Activity

Where,

MCR = maximum continuous rated engine power, kW LF = load factor (unitless) Activity = activity of the engine at a given load, hours

Load factor for a propulsion or main engine is expressed as the ratio of an engine's power output at a given speed to the engine's MCR rating. This calculation is based on the Propeller Law, which is the principle that a vessel's propulsion power requirement varies by the cube of its speed. The load factor is calculated using the following equation:

Equation 3

$$LF = (AS / MS)^3$$

Where:

LF = load factor, percent AS = actual speed, knots MS = maximum speed or Lloyd's reported speed, knots

Information on the power levels of OGV main engines and on the vessels' maximum speed is obtained primarily from data commonly known as Lloyd's data, licensed from IHS Markit.³ For

² IMO, http://www.imo.org/en/OurWork/Environment/PollutionPrevention/AirPollution/Pages/Greenhouse-Gas-Studies-2014.aspx [IMO 2014]

³ IHS Markit, https://www.ihs.com/products/maritime-data-index.html

each size class included in the comparison tool, Table 1 shows the averages of teu capacity, engine MCR power, maximum speed, observed actual open water transit speeds, and calculated load factor. The average capacity, average main engine, and average maximum speed were estimated using all container ships within the capacity category that were designated as "In-Service" in the IHS Markit database. Actual speeds are based on global satellite automated identification system (SAIS) data for 2012 and are published in Table 14 of IMO 2014. The actual speed was used for the entire port-to-port distance, which conservatively increases the estimated energy consumption compared to the various reduced speed zones ships pass through during arrival, departure, and maneuvering.

	Average	Main	Max	Actual	Load
Size Class	Capacity	Engine	Speed	Speed	Factor
teu	teu	kW	kts	kts	
6,000	6,542	57,335	24.9	16.3	28.1%
8,000	8,480	61,007	24.5	16.8	32.3%
13,000	13,364	64,775	24.1	16.1	30.0%
15,000	15,259	67,044	21.3	14.8	33.6%
18,000	18,421	54,841	18.8	14.8	48.9%

Table 1: Average Parameters for OGV Characteristics and Main Engines

Table 2 presents average vessel speeds while transiting the Panama and Suez Canals, and load factors for canal transits. Average speeds were developed by diving applicable canal transit times by the total distance of the canal. This approach should result in a slight over-estimate of propulsion emissions as the ships transiting the Panama Canal will not actually be using their propulsion engines during the time they are in the locks.

Table 2.	Sneeds	and Main	Fngine	load	Factors	for	Canal	Transits
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	Panam	a Canal	Suez Canal		
Size Class	Speed	LF	Speed	LF	
teu	kts		kts		
6,000	5.0	0.8%	6.9	2.1%	
8,000	5.0	0.9%	6.9	2.3%	
13,000	5.0	0.9%	6.9	2.4%	
15,000	5.0	1.3%	6.9	3.4%	
18,000	5.0	1.9%	6.9	5.0%	

Auxiliary engine and boiler information is usually not provided to IHS Markit by vessel owners since it is not required by IMO or the classification societies, so minimal auxiliary engine and boiler information is available from their data (i.e., Lloyd's data). Therefore, auxiliary engine and boiler data gathered from the VBP and Lloyd's data on ships making local calls to the San Pedro Bay Ports (Los Angeles and Long Beach) were used to estimate the actual loads for each vessel size class, including load factor. Table 3 summarizes the auxiliary engine and boiler loads used during transit for this comparison tool. Note that the emission calculations assume that boilers are not used during sea transit because most containerships use economizers when at speed, which recover main engine exhaust heat and allow the boilers to be turned off.

	Auxiliary	Power	Boiler
Size Class	Transit	Canal	Canal
teu	kW	kW	kW
6,000	1,453	2,195	573
8,000	1,494	2,753	531
13,000	1,865	3,085	599
15,000	1,900	3,500	700
18,000	1,500	1,750	647

Table 3: Auxiliary Engine and Boiler Loads, kW

Activity for the OGV emission sources is estimated in hours by dividing the distance traveled by the vessel's average speed over that distance.

Equation 4

Activity = D/AS

Where:

Activity = activity, hours D = distance, nautical miles AS = actual ship speed, knots

For each component of a voyage (ECA and non-ECA open water, and canal transit), activity in hours is estimated assuming sea service speed for open water, and the reduced speeds shown in Table 2 for the canal transits. Energy associated with the propulsion engines, auxiliary engines, and boilers for each component is estimated for the route from the originating port to the destination port.

The power, load, and activity estimates are multiplied to calculate the energy demand in kW-hrs. Emission factors are used to estimate the quantity of emissions. The greenhouse gas emission

factors for CO₂, CH₄ and N₂O were reported in an IVL 2004 study.⁴ Vessels are assumed to operate in non-ECA areas on residual oil (RO), which is intermediate fuel oil (IFO 380) or one with similar specifications. This is supported by information collected during the VBP and 2005 CARB survey. For ECA areas, the emission factors are based on the use of marine diesel oil (MDO) in main and auxiliary engines, with boilers being turned off and not producing emissions. Table 4 presents the GHG emission factors for the three emission source types and two fuels in g/kW-hr.

Emission	Fuel	CO_2	N_2O	CH_4
Source		g/kWh	g/kWh	g/kWh
Main engine	MDO	606	0.029	0.012
Main engine	LSHFO	620	0.031	0.012
Auxiliary engine	MDO	705	0.029	0.012
Auxiliary engine	LSHFO	722	0.031	0.008
Boiler	MDO	948	0.075	0.002
Boiler	LSHFO	970	0.08	0.002

Table 4: GHG Emission Factors for RO and MDO, g/kW-hr

Emissions in metric tons are calculated by multiplying energy demand in kW-hrs by the relevant emission factor in g/kW-hr and dividing by 1,000,000 grams per metric ton (tonne).

Equation 5

Emissions (MT) = <u>Energy (kW-hrs) x EF (g/kW-hr)</u> 1,000,000 g/tonne

Where,

Emissions = emissions in metric tons, MT, for the time period of activity Energy = energy demand over the time period, kW-hr EF = emission factor, g/kW-hr

For the canal transit portions, the emission factor for ship propulsion engines could be multiplied by a load adjustment factor of 1.11 to account for engine inefficiencies at low loads. However, the energy consumed in the canal portions compared to the total propulsion power never exceeds 0.2% and including the adjustment factor would have no impact on the results of the evaluations.⁵

⁴ Methodology for Calculating Emissions from Ships: 1. Update of Emissions Factors,; Issued by David Cooper, IVL, 2004

⁵ San Pedro Bay Ports, http://www.cleanairactionplan.org/documents/man-slide-valve-low-load-emissions-test.pdf

The comparison tool calculates emissions of the three combustion-related GHGs, and CO₂ equivalents, from each of the three emission source types, for each component of the selected string(s) and for each of the four vessel size classes listed above, and presents a summary of OGV emissions for each string and vessel size class.

Cargo Handling Equipment

Cargo handling equipment emissions represent a minor component of the overall GHG emissions, from approximately 2% to 7% of the total. The methodology for CHE is based on reported CHE emissions from each arrival port's container operations, if estimates are available, and on teu throughput for the same year as the CHE estimates. The annual emissions are divided by the port's annual container throughput for the reported year to derive an emissions efficiency value for each port of metric tons of CO_2 equivalents per teu (MT/teu). If an arrival port has not published an emissions inventory for CHE, the tool uses a weighted average MT/teu value calculated as the average of reported CHE emissions divided by the total of the corresponding ports' teu throughputs. The values for ports that have reported CHE emissions range from 0.010 to 0.024 MT/teu, with a weighted average of 0.017 MT/teu.

The emissions comparison tool calculates the CHE component of emissions by multiplying the emissions efficiency value by the number of teus input by the tool user.

Locomotives

The methodology for railroad locomotives moving containers from the selected arrival ports to selected inland destinations is based on energy demand over the distance travelled from port to destination (horsepower hours), and emission factors expressed in terms of grams of emissions per horsepower hour (g/hp-hr).

The energy demand calculation starts with multiplying the weight of an average train (tons) by the distance traveled between port and destination (miles), which calculates a gross ton-mile (GTM) value for each port-to-destination combination.

Fuel consumption, in gallons per GTM (gal/GTM), has been estimated from the annual R-1 reports filed by each Class 1 railroad with the Surface Transportation Board of the U.S. Department of Transportation.⁶ Multiplying GTM by the gal/GTM fuel consumption average for the Class 1 railroads operating in either the eastern or western portions of the country, depending on the location of the arrival port, estimates the number of gallons of fuel consumed on each trip.

The energy demand in horsepower hours (hp-hrs) is estimated using a factor published by the U.S.EPA that correlates fuel consumption with power demand in horsepower hours per gallon of fuel (20.8 hp-hr/gal). Multiplying the estimated number of gallons by the hp-hr/gal factor

⁶ Surface Transportation Board, https://www.stb.gov/stb/industry/econ_reports.html

estimates the total horsepower hours for each trip between arrival port and selected destination. Average values for horsepower hours per teu (hp-hr/teu) are calculated by dividing horsepower hours per train by the average number of teus on the average train for which weight has been estimated.

Emissions in metric tons are calculated by multiplying energy demand in hp-hrs by the relevant emission factor⁷ in g/hp-hr and dividing by 1,000,000 grams per metric ton (tonne). Emissions of CO_2 equivalents per teu range from 0.14 to 0.65 MT/teu depending on the combination of arriving port and inland destination. The higher values occur when moving cargo from a west coast port to one of the inland destinations because the trip distances are further. This makes the rail component of a total trip a greater percentage of overall emissions when the arriving port is a west coast port than when arrival is to an east coast or Gulf coast port.

Table 5 lists the train parameters that have been used for estimating energy demand from locomotives. These are approximate values based on port-related emissions inventory work that do not reflect all of the variabilities in rail routes and train makeup but are believed to present a reasonable estimate of general train characteristics. Table 6 lists the emission factors that are used in the locomotive emission calculations.

Parameter	Units	Rail Region		
		West	East	
No. of cars per average train		26	20	
Car capacity	teus/car	20	20	
Total capacity	teus/train	520	400	
teu weight	tons/teu	14	14	
Cargo weight	tons	7,280	5,600	
Car weight	tons/car	103	63	
Car weight	tons	2,678	1,260	
Locomotive weight	tons/loco	210	210	
No. of locos	loco/train	3.1	2.4	
Total loco weight	tons	840	630	
Train weight	tons	10,609	7,364	

Table 5: Parameters of Average Train used in Calculations

⁷ EPA, Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2020, April 2022

Table 6:	Emission	Factors	for Line	Haul	Locomotives

Emission	Fuel	CO_2	N_2O	CH_4
Source		g/hp-hr	g/hp-hr	g/hp-hr
Line Haul	ULSD	494	0.013	0.04